



1645

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TRANSMITTAL FORM

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Total Number Of Pages In This Submission

11*

Application Number

10/006,290

Filing Date

October 22, 2001

First Named Inventor

Jay WOHLGEMUTH, et al.

Group Art Unit

1645

Examiner Name

To Be Assigned

Attorney Docket No.

506612000100

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ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☒ Other Enclosure(s) (please identify below): **RETURN RECEIPT POSTCARD**

***DOES NOT INCLUDE NUMBER OF PAGES IN EACH REFERENCE**

SUPPLEMENTAL Information Disclosure Statement - 3 pgs IN DUPL

☒ PTO-1449 - 2 pgs IN DUPL with
[42] references on CD--paper
copy of cover page only
provided

☐ CD, Number of CD(s) _____

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

or

Individual Name

Morrison & Foerster LLP
425 Market Street, San Francisco, CA 94105-2842
Michael R. Ward (Reg. No. 38,651)

Signature

Michael R Ward

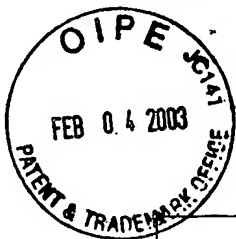
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PATENT
Docket No. 506612000100

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LILIA OLSEN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Jay WOHLGEMUTH et al.

Serial No.: 10/006,290

Filing Date: October 22, 2001

For: LEUKOCYTE EXPRESSION
PROFILING

Examiner: To Be Assigned

Group Art Unit: 1645

#11
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2/13/03

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

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Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. **Electronic copies of the documents are submitted herewith on the enclosed CD. Paper copies of the cover pages only for the listed patents and patent applications are also enclosed. Paper copies of the entire patents and applications are not submitted due to their excessive length.** However, applicant will provide paper copies of the entire patents and applications upon request by the Examiner. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☒ **Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.**
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 506612000100. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: January 31, 2003

Respectfully submitted,

By: Michael R. Ward
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Registration No. 38,651

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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 506612000100

Application Number 10/006,290

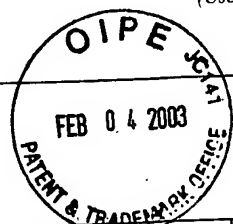
Applicant

J. Wohlgemuth et al.

Filing Date 10/22/2001

Group Art Unit 1645

Mailing Date January 31, 2003



U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	8/29/1995	5,445,940	Brenner et al.			
	2.	2/10/1998	5,716,787	Dunn et al.			
	3.	9/22/1998	5,811,284	Chang et al.			
	4.	2/20/2001	6,190,857 B1	Ralph et al.			
	5.	2/20/2001	6,190,872 B1	Slotman			
	6.	2/27/2001	6,194,158 B1	Kroes et al.			
	7.	7/17/2001	6,262,244 B1	Houchins et al.			
	8.	4/2/2002	6,365,352 B1	Yerramilli, et al.			
	9.	6/11/2002	6,403,304 B1	Stashenko et al.			
	10.	6/25/2002	6,410,319 B1	Raubitschek et al.			
	11.	4/11/2002 (Publication)	2002/0042386 A1	Rosen et al.			
	12.	9/12/2002 (Publication)	2002/0128436 A1	Strom et al.			
	13.	9/19/2002 (Publication)	2002/0132235 A1	Avihingsanon et al.			

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Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO	
	14.	4/15/1987	EP 0 217 992 A2	EPO				
	15.	2/21/2001	EP 1 077 254 A2	EPO				
	16.	12/12/2001	EP 1 162 276 A2	EPO			(abstract only)	
	17.	12/12/1991	WO 91/18626	WIPO				
	18.	6/29/1995	WO 95/17506	WIPO				
	19.	12/12/1996	WO 96/39536	WIPO				
	20.	6/11/1998	WO 98/24935	WIPO				

EXAMINER: (examiner)

DATE CONSIDERED:

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Form PTO-1449

Docket Number **506612000100**Application Number **10/006,290**

Applicant

J. Wohlgemuth et al.Filing Date **10/22/2001**Group Art Unit **1645**Mailing Date **January 31, 2003**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

21.	3/11/1999	WO 99/11782	WIPO				
22.	4/1/1999	WO 99/15700	WIPO				
23.	1/27/2000	WO 00/04191	WIPO				
24.	3/9/2000	WO 00/12753	WIPO				
25.	4/27/2000	WO 00/23573 A3	WIPO				
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27.	10/26/2000	WO 00/63372	WIPO				
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29.	7/5/2001	WO 01/47944 A2	WIPO				
30.	8/2/2001	WO 01/54733 A1	WIPO				
31.	8/2/2001	WO 01/55164 A1	WIPO				
32.	8/2/2001	WO 01/55201 A1	WIPO				
33.	8/2/2001	WO 01/55203 A1	WIPO				
34.	8/2/2001	WO 01/55205 A1	WIPO				
35.	8/2/2001	WO 01/55328 A2	WIPO				
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38.	9/27/2001	WO 01/71005 A2	WIPO				
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40.	1/3/2002	WO 02/00677 A1	WIPO				
41.	1/3/2002	WO 02/00928 A2	WIPO				(abstract only)
42.	4/11/2002	WO 02/28999 A2	WIPO				

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

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